



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wagner, Michael P.

Application No.: 09/480,390

Filed: 01/11/2000

For: System, Device, and Method for Providing

Mutual Exclusion for Computer System Resources

Group No.: 2172

Examiner: To, B.

RECEIVED

JUN 2 5 2002

Technology Center 2100

**Commissioner for Patents** Washington, D.C. 20231

# AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

# **STATUS**

2. Applicant is other than a small entity.

# **EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition

#### CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date: June 14, 2002

#### **FACSIMILE**

transmitted by facsimile to the Patent and Trademark Office.

Jeffrey T. Klayman

(type or print name of person certifying)

(Amendment Transmittal--page 1 of 3)



is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

#### FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

	(Col.1)		(Col. 2)  Highest No. Previously Paid For	(Col. 3)  Present Extra	OTHER THAN A SMALL ENTITY		RECEIVED JUN 2 5 2002
	Claims Remainir After Amendme	ng			Rate	Addit. Fee	Technology Center 210
Total	40	Minus	40	= 0	x \$18 =	\$0	
Indep.	4	Minus	4	= 0	x \$80 =	\$0	<del></del>
First Presentation of Multiple Dependent Claim					+ \$270 =	\$0	
					Total Addit. Fee	\$ <u>0</u>	

\* If the entry in Col. 1 is less than the entry in Col. 2, write OOI in Col. 3,

No additional fee for claims is required.

# FEE DEFICIENCY

5. If any additional extension and/or fee is required, charge Account No. 19-4972. If any additional fee for claims is required, charge Account No. 19-4972.

(Amendment Transmittal--page 2 of 5)

<sup>\*\*</sup> If the Highest No. Previously Paid For IN THIS SPACE (Column 2, Row 1) is less than 20, enter 0200.

<sup>\*\*\*</sup> If the ÜHighest No. Previously Paid For IN THIS SPACE (Column 2, Row 2) is less than 3, enter I30.

The ÜHighest No. Previously Paid For I (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Date: June 14, 2002

Jeffrey T. Klayman Registration No. 39,250 Bromberg & Sunstein LLP 125 Summer Street Boston, MA 02110-1618

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617-443-9292

Customer No. 02101

TED STATES PATENT AND TRADEMARK OFFICE PRIGINALLY

Applicant:

IN

Michael P. Wagner

Att'y Docket: 1956/126

Serial No.:

09/480,390

Art Unit:

2172

Filed:

January 11, 2000

Examiner:

To, B.

Title:

SYSTEM, DEVICE, AND METHOD FOR PROVIDING MUTUAL

**EXCLUSION FOR COMPUTER SYSTEM RESOURCES** 

CERTIFICATE OF MAILING

Technology Center 2100

I hereby certify that this document, along with any other papers referred to as being attached or enclosed, is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on Ju

Commissioner for Patents Washington, D.C. 20231

# RESPONSE TO OFFICE ACTION UNDER 37 C.F.R. 1.111

Dear Sir:

Applicant respectfully submits this response to the Office Action dated March 18, 2002.

### REMARKS

- 1. Claims 1-40 are pending in this application. No claims have been amended, canceled, or added. Therefore, claims 1-40 remain pending in this application.
- 2. The Examiner rejected claims 1-40 under 35 U.S.C. 103(a) as being unpatentable over Tucker (US Patent No. 6,223,204).